

# SIMPLE GROUPS GENERATED BY INVOLUTIONS INTERCHANGING RESIDUE CLASSES MODULO LATTICES IN $\mathbb{Z}^d$

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ABSTRACT. We present a series of countable simple groups generated by involutions interchanging disjoint residue classes modulo lattices in  $\mathbb{Z}^d$  ( $d \in \mathbb{N}$ ).

## 1. INTRODUCTION

In this paper we generalize the construction of the simple group  $\text{CT}(\mathbb{Z}) < \text{Sym}(\mathbb{Z})$  investigated in [1] to groups  $\text{CT}(\mathbb{Z}^d)$  acting on  $\mathbb{Z}^d$  ( $d \in \mathbb{N}$ ).

**Definition 1.1.** Let  $d \in \mathbb{N}$ , and let  $L_1, L_2 \in \mathbb{Z}^{d \times d}$  be matrices of full rank which are in Hermite normal form. Further let  $r_1 + \mathbb{Z}^d L_1$  and  $r_2 + \mathbb{Z}^d L_2$  be disjoint residue classes, and assume that the representatives  $r_1$  and  $r_2$  are reduced modulo  $\mathbb{Z}^d L_1$  and  $\mathbb{Z}^d L_2$ , respectively. Then we define the *class transposition*  $\tau_{r_1 + \mathbb{Z}^d L_1, r_2 + \mathbb{Z}^d L_2} \in \text{Sym}(\mathbb{Z}^d)$  as the involution which interchanges  $r_1 + kL_1$  and  $r_2 + kL_2$  for all  $k \in \mathbb{Z}^d$  and which fixes everything else.

**Definition 1.2.** Let  $\text{CT}(\mathbb{Z}^d)$  denote the group which is generated by the set of all class transpositions of  $\mathbb{Z}^d$ .

The purpose of this article is to prove the following generalization of Theorem 3.4 in [1]:

**Theorem 1.3.** *The groups  $\text{CT}(\mathbb{Z}^d)$  are simple.*

The work which led to the discovery of the simple group  $\text{CT}(\mathbb{Z})$  was originally motivated by Lothar Collatz'  $3n+1$  conjecture, which dates back to the 1930's. This conjecture asserts that iterated application of the so-called Collatz mapping

$$T : \mathbb{Z} \longrightarrow \mathbb{Z}, \quad n \longmapsto \begin{cases} n/2 & \text{if } n \text{ is even,} \\ (3n+1)/2 & \text{if } n \text{ is odd} \end{cases}$$

to any positive integer yields 1 after a finite number of steps. The  $3n+1$  conjecture is still open today. Lagarias [3] has compiled a comprehensive annotated bibliography, which lists hundreds of publications related to this conjecture. A survey article and a monograph on Collatz' conjecture are [2] and [4], respectively.

The elements of the group  $\text{CT}(\mathbb{Z})$  are bijective mappings which are 'similar to  $T$ ' in the sense that they are affine on residue classes as well. If one investigates the group  $\text{CT}(\mathbb{Z})$  by means of theory or by means of computation, it turns out that its subgroups and its elements can often be handled much more easily than  $T$ . So it seems conceivable that part of the problem is that Collatz' mapping  $T$  is not injective.

However, a key observation is now that the mapping  $T$  can be extended in natural ways to permutations of  $\mathbb{Z}^2$ . An example of such an extension is

$$\sigma_T \in \text{Sym}(\mathbb{Z}^2) : (n, m) \mapsto \begin{cases} ((3n+1)/2, 2m+1) & \text{if } n \text{ is odd,} \\ (n/2, 2m) & \text{if } n \equiv 4 \pmod{6}, \\ (n/2, m) & \text{otherwise.} \end{cases}$$

The permutation  $\sigma_T$  acts on the first coordinate like the Collatz mapping  $T$ . This motivates a move from  $\mathbb{Z}$  to  $\mathbb{Z}^2$ , and generalizing further, to  $\mathbb{Z}^d$  for  $d \in \mathbb{N}$ .

## 2. BASIC TERMS

In order to prove the simplicity of  $\text{CT}(\mathbb{Z}^d)$ , we need to introduce some terms:

**Definition 2.1.** Let  $d \in \mathbb{N}$ . We call a mapping  $f : \mathbb{Z}^d \rightarrow \mathbb{Z}^d$  *residue-class-wise affine* if there is a lattice  $L = \mathbb{Z}^d M$  where  $M \in \mathbb{Z}^{d \times d}$  is a matrix of full rank, such that the restrictions of  $f$  to the residue classes  $r + L \in \mathbb{Z}^d / L$  are all affine. This means that for any residue class  $r + L \in \mathbb{Z}^d / L$ , there is a matrix  $A_{r+L} \in \mathbb{Z}^{d \times d}$ , a vector  $b_{r+L} \in \mathbb{Z}^d$  and a positive integer  $c_{r+L}$  such that the restriction of  $f$  to  $r + L$  is given by

$$f|_{r+L} : r + L \longrightarrow \mathbb{Z}^d, \quad v \mapsto \frac{v \cdot A_{r+L} + b_{r+L}}{c_{r+L}}.$$

For reasons of uniqueness, we assume that  $L$  is chosen maximal with respect to inclusion, and that no prime factor of  $c_{r+L}$  divides all coefficients of  $A_{r+L}$  and  $b_{r+L}$ . We call the lattice  $L$  the *modulus* of  $f$ , written  $\text{Mod}(f)$ . Further we define the *prime set* of  $f$  as the set of all primes which divide the determinant of at least one of the coefficients  $A_{r+L}$  or which divide the determinant of  $M$ , and we call the mapping  $f$  *class-wise translating* if all coefficients  $A_{r+L}$  are identity matrices and all coefficients  $c_{r+L}$  are equal to 1.

For the sake of simplicity, we identify a lattice  $L$  with the Hermite normal form of the matrix by whose rows it is spanned, and denote the  $i$ -th row of the spanning matrix by  $L_{(i)}$ .

It is easy to see that the residue-class-wise affine permutations of  $\mathbb{Z}^d$  form a countable supergroup of  $\text{CT}(\mathbb{Z}^d)$ .

**Definition 2.2.** We denote the group which is formed by all residue-class-wise affine permutations of  $\mathbb{Z}^d$  by  $\text{RCWA}(\mathbb{Z}^d)$ , and call its subgroups *residue-class-wise affine groups*.

A more or less immediate observation is the following:

**Theorem 2.3.** *The groups  $\text{CT}(\mathbb{Z}^d)$  and  $\text{RCWA}(\mathbb{Z}^d)$  are not finitely generated.*

*Proof.* It is easy to see that the prime set of a product of residue-class-wise affine permutations is a subset of the union of the prime sets of the factors, and that inversion leaves the prime set invariant. Therefore as there are infinitely many primes and as for any prime  $p$  there is a class transposition  $\tau_{(1,0,\dots,0)+\mathbb{Z}^d \cdot \text{diag}(2,1,\dots,1), (0,0,\dots,0)+\mathbb{Z}^d \cdot \text{diag}(2p,1,\dots,1)}$  whose prime set is  $\{2, p\}$ , the assertion follows.  $\square$

## 3. THE SIMPLICITY OF $\text{CT}(\mathbb{Z}^d)$

In order to show that the groups  $\text{CT}(\mathbb{Z}^d)$  are simple, we need some lemmata:

**Lemma 3.1.** *Given any two class transpositions  $\tau_{r_1+L_1, r_2+L_2}$  and  $\tau_{r_3+L_3, r_4+L_4}$  whose support is not all of  $\mathbb{Z}^d$ , there is always a product  $\pi$  of 6 class transpositions such that  $\tau_{r_1+L_1, r_2+L_2}^\pi = \tau_{r_3+L_3, r_4+L_4}$ .*

*Proof.* Let  $r_5 + L_5, r_6 + L_6 \subset \mathbb{Z}^d \setminus (r_1 + L_1 \cup r_2 + L_2)$  be disjoint residue classes such that  $\cup_{i=3}^6 r_i + L_i \neq \mathbb{Z}^d$ , and let  $r_7 + L_7, r_8 + L_8 \subset \mathbb{Z}^d \setminus \cup_{i=3}^6 r_i + L_i$  be disjoint residue classes. Then the following hold:

- (1)  $\tau_{r_1+L_1, r_2+L_2} \tau_{r_1+L_1, r_5+L_5} \cdot \tau_{r_2+L_2, r_6+L_6} = \tau_{r_5+L_5, r_6+L_6},$
- (2)  $\tau_{r_5+L_5, r_6+L_6} \tau_{r_5+L_5, r_7+L_7} \cdot \tau_{r_6+L_6, r_8+L_8} = \tau_{r_7+L_7, r_8+L_8},$
- (3)  $\tau_{r_7+L_7, r_8+L_8} \tau_{r_3+L_3, r_7+L_7} \cdot \tau_{r_4+L_4, r_8+L_8} = \tau_{r_3+L_3, r_4+L_4}.$

The assertion follows.  $\square$

**Lemma 3.2.** *Let  $\sigma, v \in \text{RCWA}(\mathbb{Z}^d)$ , and put  $L := \text{Mod}(\sigma)$ . If the mapping  $v$  is class-wise translating and fixes all residue classes (mod  $L$ ) setwise, then the commutator  $[\sigma, v]$  is class-wise translating as well.*

*Proof.* Since  $v$  fixes all residue classes (mod  $L$ ), an affine partial mapping  $\alpha$  of  $[\sigma, v]$  is given by  $\alpha_{v^{-1}}^{\alpha_\sigma} \cdot \alpha_v$  for certain affine partial mappings  $\alpha_\sigma, \alpha_v$  and  $\alpha_{v^{-1}}$  of  $\sigma, v$  and  $v^{-1}$ , respectively. The assertion follows, since the translations form a normal subgroup of the affine group of  $\mathbb{Q}^d$ .  $\square$

**Lemma 3.3.** *Let  $G$  be a subgroup of  $\text{RCWA}(\mathbb{Z}^d)$  which contains  $\text{CT}(\mathbb{Z}^d)$ . Then any nontrivial normal subgroup  $N \trianglelefteq G$  has a class-wise translating element  $\iota \neq 1$ .*

*Proof.* Let  $\sigma \in N \setminus \{1\}$ , and put  $L := \text{Mod}(\sigma)$ . Without loss of generality, we can assume that  $\sigma$  is not class-wise translating. We pick a residue class  $r + L$  such that  $\sigma|_{r+L}$  is not a translation. By Lemma 3.2, the mappings  $\iota_{i,j,k} := [\sigma, \tau_{r+iL_{(j)}+2kL, r+(i+k)L_{(j)}+2kL}] \in N$  ( $k \in \mathbb{N}$ ,  $i \in \{0, \dots, k-1\}$ ,  $j \in \{1, \dots, d\}$ ) are class-wise translating. Choose  $k$  sufficiently large; then  $\sigma$  does not map all residue classes  $r + iL_{(j)} + kL$  to themselves. Therefore not all  $\iota_{i,j,k}$  are equal to 1.  $\square$

Now we can prove our theorem:

**Theorem 3.4.** *The groups  $\text{CT}(\mathbb{Z}^d)$  are simple.*

*Proof.* Let  $d \in \mathbb{N}$ , and let  $N$  be a nontrivial normal subgroup of  $\text{CT}(\mathbb{Z}^d)$ . We have to show that  $N$  contains all class transpositions.

By Lemma 3.1, all class transpositions whose support is not all of  $\mathbb{Z}^d$  are conjugate in  $\text{CT}(\mathbb{Z}^d)$ . Further, any class transposition can be written as a product of two class transpositions with disjoint supports: putting  $D := \text{diag}(1, \dots, 1, 2)$ , we have  $\tau_{r_1+L_1, r_2+L_2} = \tau_{r_1+DL_1, r_2+DL_2} \cdot \tau_{r_1+(L_1)_{(d)}+DL_1, r_2+(L_2)_{(d)}+DL_2}$ . Therefore it is already sufficient to show that  $N$  contains one class transposition whose support is a proper subset of  $\mathbb{Z}^d$ .

By Lemma 3.3, the normal subgroup  $N$  has a class-wise translating element  $\iota_1 \neq 1$ . Let  $L$  be a sublattice of the modulus of  $\iota_1$  such that  $|\mathbb{Z}/L| \geq 3$ , and choose a residue class  $r + L$  which is moved by  $\iota_1$ . Then put  $\tilde{L} := DL$  and

$$\iota_2 := \tau_{r+\tilde{L}, r+L_{(d)}+\tilde{L}} \cdot \tau_{(r+\tilde{L})^{\iota_1}, (r+L_{(d)}+\tilde{L})^{\iota_1}} = [\tau_{r+\tilde{L}, r+L_{(d)}+\tilde{L}}, \iota_1] \in N.$$

By the choice of  $L$ , we can now choose two distinct residue classes  $r_1 + \tilde{L}$  and  $r_2 + \tilde{L}$  in the complement of the support of  $\iota_2$ . Putting  $\hat{L} := D^2L$ , we have now

$$\begin{aligned} \tau_{r_1+\tilde{L}, r_2+\tilde{L}} &= \iota_2^{\tau_{r+\tilde{L}, r_1+\tilde{L}} \cdot \tau_{r+L_{(d)}+\tilde{L}, r_2+\tilde{L}}} \\ &\quad \cdot \iota_2^{\tau_{r+\tilde{L}, r_1+2L_{(d)}+\tilde{L}} \cdot \tau_{r+L_{(d)}+\tilde{L}, r_2+2L_{(d)}+\tilde{L}}} \in N, \end{aligned}$$

which completes the proof of the theorem.  $\square$

There is a straightforward generalization of Definition 3.6 and Corollary 3.7 in [1]:

**Definition 3.5.** Given a set  $\mathbb{P}$  of odd primes, let  $\text{CT}_{\mathbb{P}}(\mathbb{Z}^d) \leq \text{CT}(\mathbb{Z}^d)$  denote the subgroup which is generated by all class transpositions whose prime sets are subsets of  $\mathbb{P} \cup \{2\}$ .

**Corollary 3.6.** *The groups  $\text{CT}_{\mathbb{P}}(\mathbb{Z}^d)$  are simple.*

*Proof.* All of our arguments in this section apply to the groups  $\text{CT}_{\mathbb{P}}(\mathbb{Z}^d)$  as well. – In the proof of Lemma 3.1, we can choose the four residue classes  $r_5 + L_5, \dots, r_8 + L_8$  in such a way that all prime factors of the determinants of their moduli already divide the determinant of one of  $L_1, \dots, L_4$ . The proofs of Lemma 3.2, Lemma 3.3 and Theorem 3.4 likewise do not require the presence of class transpositions whose moduli have determinants with certain odd factors.  $\square$

#### 4. OPEN QUESTIONS

It is not clear whether  $(\text{CT}(\mathbb{Z}^d))_{d \in \mathbb{N}}$  is indeed a series of pairwise non-isomorphic groups. Furthermore, so far there is no answer to the following question:

**Question 4.1.** *Are the groups  $\text{CT}(\mathbb{Z}^d)$  all isomorphic to  $\text{CT}(\mathbb{Z})$ ?*

Also, so far there is no explicit characterization of the elements of  $\text{CT}(\mathbb{Z}^d)$ :

**Question 4.2.** *How can it be decided whether a given element  $\sigma \in \text{RCWA}(\mathbb{Z}^d)$  lies in the group  $\text{CT}(\mathbb{Z}^d)$ ?*

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